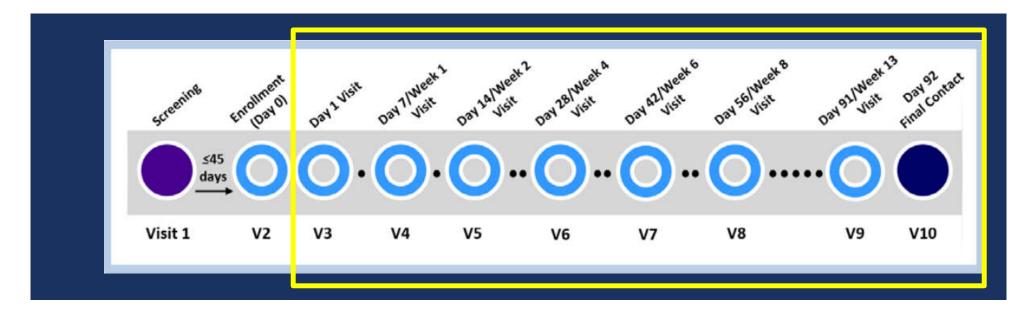
FOLLOW-UP VISIT CONSIDERATIONS

MTN-038 STUDY-SPECIFIC TRAINING | 11SEPT2018



PROTOCOL & SSP MANUAL REFERENCES

- Protocol Sections 7.4 (Follow-up) and 9.2-9.4 (Clinical Management)
- SSP Section 5: Study Procedures
- SSP Section 8: Clinical Considerations
- SSP Section 9: AE and Safety Reporting
- SSP Section 10: Laboratory Considerations
- SSP Section 11: Counseling Considerations
- SSP Section 12 Data Management



Scheduled Visits:

- First 2 Weeks of VR use:
 - Day I, 7, & I4 (V3-5)
- Further follow-up:
 - Day 28, 42, 56, 91/PUEV (V6-9)
- Final Contact:
 - Day 92/V10 (24-72 hrs after PUEV)
- Split visits: permitted but <u>not encouraged</u>,
 V4-10; not permitted V2 or V3 (Day 1)

Interim visits: phone or clinic visits between scheduled visits

Procedures required will depend on the reason for the visit

- Make-up missed visit
- For administrative reasons
- For product-related reasons
- In response to AEs and/or SAEs.
- For additional STI counseling and testing in response to STI symptoms.
- For any needed HIV counseling and testing in response to participant report of symptoms consistent with acute infection or presumed exposure to HIV.
- For other reasons at participant request

Early Termination:

- Complete procedures per the Day 91/PUEV/Early Termination Visit Checklist
- Collecting the PK/PD blood, pelvic, and rectal specimens will be at management team discretion.

SCHEDULED AND INTERIM VISITS

VISITS 3-5 (DAY I, 7, AND I4) PROCEDURES

Administrative	Co-enrollment check, update locator, schedule next visit, provide reimbursement, offer condoms*	
Behavioral	None	
Counseling	HIV Pre-Post Test and STI Risk Reduction* Contraceptive Protocol Adherence	
Clinical	Review/collect/update medical/menstrual/meds history Assess AEs Targeted Physical Exam* Pelvic Exam – Check for VR Evaluate/provide findings; RX/refer for RTI/UTI/STI* *If indicated per biopsy schedule	
Laboratory	Blood – TFV levels, CBC w/ platelets/diff., serum creatinine, Syphilis, HIV * Pelvic – CVF for TFV levels and biomarkers, cervical biopsies ‡, NAAT for Trich and GC/CT, wet mount w/ pH* Rectal fluid for TFV (Days I & I4 only) Urine – Pregnancy/ dipstick/ Culture analysis*	

VISITS 6-8 (DAY 28, 42 AND 56)

Administrative	Co-enrollment check, update locator, schedule next visit, provide reimbursement, offer condoms*	
Behavioral	Ring Adherence CRF (Visit 6 & 8 only) Follow-up CASI questionnaire	
Counseling	HIV Pre-Post Test and STI Risk Reduction* Contraceptive Protocol Adherence	
Clinical	Review/update medical/menstrual/meds history Assess AEs Targeted Physical Exam* Pelvic Exam- check for VR Evaluate findings; RX/refer for RTI/UTI/STI*	

VISITS 6-8 (DAY 28, 42 AND 56), CON'T

Laboratory Specimen Collection	Day 28/Visit 6	Day 42/Visit 7	Day 56/Visit 8
Urine for pregnancy test	X	If indicated	X
Blood for TVF	X		X
CVF for TVF	X		X
CVF for anti-HSV-2 activity	X		X
CVF for biomarkers	X	X	X
Vaginal swabs for microbiota	X		X
Vaginal Gram Stain	X		X
CVL for PK, PD and biomarkers	×		X
Cervical Biopsies (‡ per sampling assignment)	X‡		X ‡
HIV-1/2, urine dipstick/culture, AST/ALT, CDC w/diff & plat., creatinine, syphilis, NAAT for CG/ GT & trich, wet mount w/pH	If indicated	If indicated	If indicated 6

MTN-038 SITE-SPECIFIC TRAINING | 11SEPT2018- FOLLOW VISIT CONSIDERATIONS



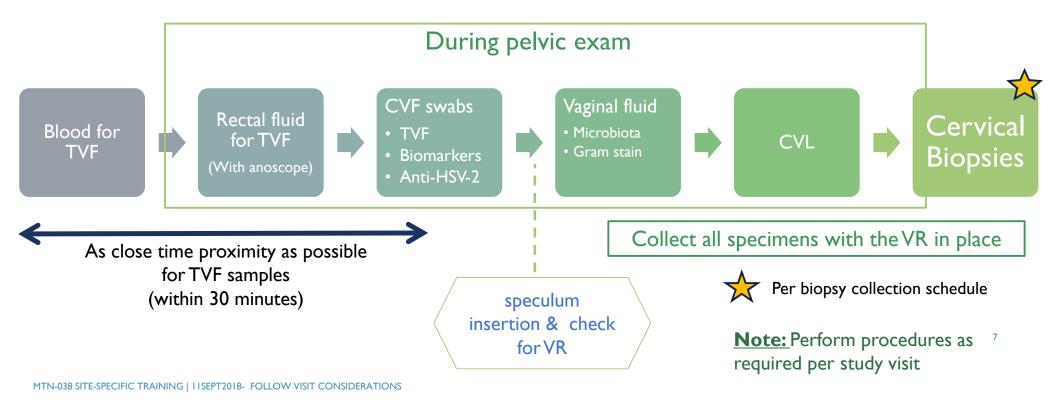
Slide 6

added swabs for microbiota. Also added 'day 28 only' for biopsies Lisa Levy, 9/5/2017 LL3

TM4 ok

Tara McClure, 9/5/2017

VISITS 3-8: SPECIMEN COLLECTION PROCEDURES



VISIT 9/ PUEV (DAY 91)/ EARLY TERMINATION

Administrative	Co-enrollment check, update locator, schedule next visit, provide reimbursement, offer condoms*		
Behavioral	Ring Adherence CRF Exit CASI questionnaire IDI (if selected/agreed at enrollment)*		
Counseling	HIV Pre-Post Test and STI Risk Reduction Contraceptive Protocol Adherence	*If indicated	
Clinical	Review/update medical/menstrual/meds history Assess AEs Targeted Physical Exam Pelvic Exam – check for VR Evaluate findings; RX/refer for RTI/UTI/STI* VR removal	Serves as Early Study Termination Visit: Complete all procedures and Study 8	

Discontinuation CRF

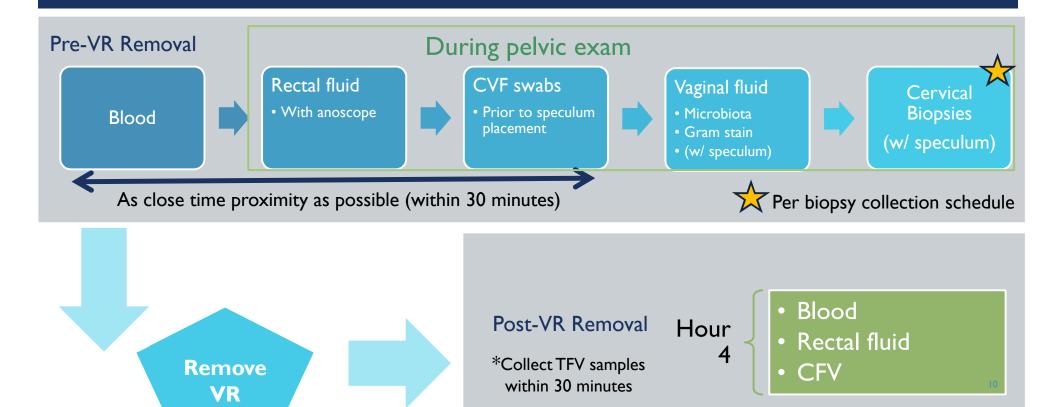
MTN-038 SITE-SPECIFIC TRAINING | 11SEPT2018- FOLLOW VISIT CONSIDERATIONS

VISIT 9/ PUEV (DAY 91) / EARLY TERMINATION, CON'T

Pre-Ring Removal Urine Rectal Blood Pelvic Rectal fluid for TFV Pregnancy • HIV-1/2 CVF for TFV • Dipstick/culture • CBC w/ diff & plat. CVF for biomarkers analysis Creatinine • Vag swab for microbiota AST/ALT • Gram stain • TFV Cervical biopsies for Syphilis PK and PD NAAT for CG/CT & Trich Wet prep w/ pH 4 hrs Post-Ring Removal for TFV samples:

blood → rectal fluid → CVF

VISIT 9/PUEV: SPECIMEN COLLECTION AND VR PROCEDURES



MTN-038 SITE-SPECIFIC TRAINING | 11SEPT2018- FOLLOW VISIT CONSIDERATIONS

VISIT 10/ FINAL CONTACT (IN-CLINIC)

Administrative	Update locator, provide results from last visit, schedule next visit if needed, provide reimbursement, offer condoms
Behavioral	None
Counseling	HIV Pre-Post Test and STI Risk Reduction*
Clinical	Review/update medical/menstrual/meds history Assess AEs Targeted Physical Exam* Evaluate findings; RX/refer for RTI/UTI/STI*
Laboratory	Blood – TFV levels, <i>CBC w/ platelets/Diff, creatinine*</i> Rectal - fluid for TFV levels Pelvic – CVF for TFV levels, wet mount w/ pH* Urine – Pregnancy, dipstick/ Culture analysis*

Schedule 24-72 hours after Day 91/PUEV

STUDY PROCEDURE EXCEPTIONS OF NOTE

- Condoms offered per site SOP/practice. Meant to provide exemption for when condoms use is not applicable
 such as if a participant has sex exclusively with women or is abstinent per the protocol inclusion criteria
 requirements.
- Pregnancy test and contraceptive counseling not required for participants who have undergone supracervical hysterectomy or bilateral oophorectomy verified by medical records
- If **HIV** test results will not be available during the visit, post-test counseling may occur upon provision of test results over the phone or in person as part of a split visit or at an interim visit, if indicated per local standard of care.

MISSED VISITS

- Visits not completed within the allowable visit window are considered "missed"
- Missed Visit CRF must be completed. If the visit is made-up outside of the visit window, log a PD with this reason selected.
- Follow your site-specific retention SOP for procedures to be done and proper documentation of efforts to contact participant

If Visits 3-10 (Days 1, 7, 14, 28, 56, and/or Day 91/PUEV) are missed:

- · Make up the missed visit and required study procedures (as soon as possible) at an interim visit, and
- Retain the participant for her remaining scheduled study follow-up visits.
 - Note: If Day 91 is missed, the visit should be made-up as soon as possible and the Final Contact Visit should be schedule based on the actual PUEV (interim visit).

QUESTIONS? COMMENTS?