b.	TID:			

Instructions: Use the table below to document a participant's eligibility status for MTN-014 study participation. Initial and date below each set of "yes/no" checkboxes upon assessment of each eligibility criterion. For each item, the reference/source document is listed. Once ineligibility status is determined, the form may be stopped and the remaining questions may be left blank. Complete the Eligibility Criteria CRF for <u>all</u> screened participants once the participant's eligibility/enrollment status is determined.

Inclusion Criteria	Screening Visit	Enrollment Visit
	Yes No	Yes No
Age 21 through 45 years (inclusive) at Screening, verified per site SOPs Source: copy of identification card or other documents as specified in SOP		not required
Able and willing to provide written informed consent Source: signed/marked Screening/Enrollment consent form		not required
3. Able and willing to comply with all study procedure requirements, including, clinical and laboratory assessments, vaginal and rectal examinations, urine and blood testing, as well as attendance at all scheduled study visits Source: signed/marked Screening/Enrollment consent form, Screening/Enrollment Behavioral Eligibility Worksheet		
4. In general good health at Screening and Enrollment as determined by the Investigator of Record (IoR)/ or designee Source: Screening/Abbreviated Physical Exam CRF		
5. Negative pregnancy test at Screening and Enrollment Source: pregnancy testing logs		
6. HIV-negative at Screening and Enrollment, per applicable protocol algorithm in Appendix II Source: rapid HIV testing logs		
7. Able and willing to provide adequate locator information, as defined in the site SOP Source: signed/marked Screening/Enrollment consent form	review and proceed accordingly	
8. Willingness to use study-provided male condoms for the duration of the study participation for penetrative intercourse Source: Screening/Enrollment Behavioral Eligibility Worksheet		

Note: In order for the participant to be <u>eligible</u>, all of the responses to items 1-8 above <u>must be 'yes'.</u>

Inclusion Criteria		Enrollment Visit
	Screening Visit Yes No	Yes No
9. Per participant report at Screening, regular menstrual cycles with at least 21 days between menses (does not apply to participants who report using a progestin-only method of contraception at screening e.g., Depo-Provera, progesterone-containing IUDs or extended use of oral contraceptives) Source: Screening Menstrual History non-DataFax Form		not required
10. Per participant report at Enrollment, using an effective method of contraception and intending to use an effective method of contraception for the duration of study participation; effective methods include hormonal methods, excluding vaginal rings, Intrauterine device (IUD) inserted at least 42 days prior to Enrollment, sterilization of participant or partner at least 42 days prior to Enrollment, self-identifies as a woman who has sex with women exclusively, sexually abstinent for at least 90 days prior to enrollment and the intention to remain sexually abstinent for the duration of study participation Source: Screening/Enrollment Behavioral Eligibility Worksheet	review and proceed accordingly	
11. Per participant report at Screening, states a willingness to refrain from inserting any non-study vaginal or rectal products or objects into the vagina or rectum, including but not limited to, spermicides, female condoms, diaphragms, contraceptive vaginal rings, vaginal medications, menstrual cups, cervical caps (or any other vaginal barrier method), vaginal/rectal douches, enemas, non-study approved lubricants, sex toys (vibrators, dildos, etc.), and tampons for the duration of the study product use periods and for 24 hours prior to Period Initiation and Period End Visits. Source: Screening Behavioral Eligibility Worksheet		not required
12. Pap result consistent with Grade 0 according to FGGT* or satisfactory evaluation of non-Grade 0 Pap result with no treatment required per clinical judgment of loR/designee in the 12 months prior to Enrollment Source: STI and RTI laboratory results, baseline medical history questions	review and proceed accordingly	
13. At Screening, participant agrees not to take part in other research studies involving drugs, medical devices, or vaginal/rectal products for the duration of study participation (including between Screening and Enrollment) Source: Screening Behavioral Eligibility Worksheet		not required

Note: In order for the participant to be <u>eligible</u>, all of the responses to items 9-13 above <u>must be 'yes'.</u>

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Exclusion Criteria	Screening Visit	Enrollment Visit				
1. Participant report of any of the following:	Yes No	Yes No				
a) Known adverse reaction to the study product (ever)						
Source: Screening Behavioral Eligibility Worksheet		not required				
b) Known adverse reaction to latex (ever)						
Source: Screening Behavioral Eligibility Worksheet		not required				
c) Current male sex partner with known history of adverse reaction to latex (ever)						
Source: Screening Behavioral Eligibility Worksheet		not required				
d) History of serum HBsAg positivity (ever)						
Source: Screening Behavioral Eligibility Worksheet		not required				
e) Non-therapeutic injection drug use in the 12 calendar months prior to Enrollment	review and					
Source: Screening/Enrollment Behavioral Eligibility Worksheet	proceed accordingly					
f) STI requiring treatment in the 6 calendar months prior to Enrollment	review and					
Source: Screening/Enrollment Behavioral Eligibility Worksheet	proceed accordingly					
g) Post-exposure prophylaxis (PEP) or Pre-exposure prophylaxis (PrEP) within 6 months prior to Enrollment	review and proceed					
Source: Screening/Enrollment Behavioral Eligibility Worksheet	accordingly					
h) Last pregnancy outcome within 90 days or less prior to Enrollment	review and					
Source: Screening/Enrollment Behavioral Eligibility Worksheet	proceed accordingly					
i) Gynecologic or genital procedure (e.g. tubal ligation, dilation, curettage) within the 42 days prior to Enrollment	review and					
Source: Screening/Enrollment Behavioral Eligibility Worksheet	proceed accordingly					
Note: This does not include biopsy for the evaluation of an abnormal pap result or endometrial biopsy that occurred more than 7 days prior to Enrollment, pro						
all other inclusion/exclusion criteria are met.		1				
j) Participation in any other research study involving drugs, medical devices, or vaginal products 42 days or less prior to Enrollment	review and proceed					
Source: Screening/Enrollment Behavioral Eligibility Worksheet	accordingly					

Note: In order for the participant to be <u>eligible</u>, all of the responses to items 1a-1j above <u>must be 'no'.</u>

Exclusion Criteria	Screening Visit	Enrollment Visi
	Yes No	Yes No
k) Anticipated IUD replacement within the next 3 months or an IUD inserted 42 days or less prior to Enrollment	review and	
Source: Screening/Enrollment Behavioral Eligibility Worksheet	proceed accordingly	
) Participant self-report at Screening and/or Enrollment intention of becoming pregnant in the next 3 months	review and proceed	
Source: Screening/Enrollment Behavioral Eligibility Worksheet	accordingly	
m) Currently breastfeeding at the time of Screening and/or Enrollment		
Source: Screening/Enrollment Behavioral Eligibility Worksheet		
n) Other reproductive tract infection (RTI) (e.g., candida and BV) requiring treatment in the 2 calendar months	review and proceed	
orior to Enrollment	accordingly	
Source: Screening/Enrollment Behavioral Eligibility Worksheet		
2. Laboratory abnormalities at Screening greater than or equal to a Grade 2**:		
Source for 2a-2d: laboratory test results reports		1
a) Aspartate aminotransferase (AST) or alanine transminase (ALT)	 	<u> </u>
p) Hemoglobin		proceed
		accordingly
c) Platelet count		review and proceed
	proceed accordingly review and proceed accordingly review and proceed accordingly review and proceed accordingly review and proceed accordingly review and proceed accordingly review and proceed accordingly review and proceed accordingly review and proceed accordingly review and proceed accordingly	accordingly
		review and
d) Serum creatinine		II '
		<u> </u>
Otherwise eligible participants with an exclusionary test result(s) listed above may be re-tested during the Screening process. If exclusionary result is aocumented within the 42 days of providing informed consent, the participant may be enrolled.	a participant is re-test	ed and a non-
3. Urinary Tract Infection (UTI) at Screening and/or Enrollment		
Source: urine culture, Baseline Medical History Questions, Pre-exisiting Conditions CRF		

Note: In order for the participant to be <u>eligible</u>, all of the responses to items 1k-3 above <u>must be 'no'.</u>

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MTN-014 Eligibility Checklist - CAPRISA eThekwini CR	MTN-014	Eligibility	/ Checklist -	- CAPRISA	eThekwini	CRS
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Exclusion Criteria			Screening Visit	Enrollme	
			Yes No	Yes	No
4. Pelvic inflammatory disease or an S	STI or RTI requiring tre	eatment per current WHO guidelines at Screening and			
/or Enrollment					ш
Source: Baseline Medical History Q	uestions, Pre-existing	Conditions, Pelvic Exam Diagram, STI and RTI lab results			
5. Clinically apparent Grade 2 or high	er pelvic* and/or rect	tal*** examination finding (observed by study staff)			
at Screening and/or Enrollment					ш
Source: Pelvic Exam Diagram, Pre-6	existing Conditions CR	F		-	
Note: Cervical bleeding associated with s	peculum insertion and/	or speculum collection judged to be within the range of norma	l according to the clin	ical judgmen	t of the
IoR/designee is considered expected non-	menstrual bleeding and	l is not exclusionary.			
Note: Otherwise eligible participants with	h exclusionary pelvic and	d/or rectal examination findings may be enrolled/randomized	after the findings have	e improved to	o a non-
exclusionary severity grading or resolved.	If improvement to a no	n-exclusionary grade or resolution is documented within 42 da	ys of providing inform	ned consent,	the
participant may be enrolled.					
6. Any other condition that, in the op	inion of the IoR/desig	gnee, would preclude informed consent, make study	review and		$\overline{\Box}$
participation unsafe, complicate in	terpretation of study	outcome data, or otherwise interfere with achieving	proceed		Ш
the study objectives			accordingly		
			accordingly		
Note: In order for the participant to	be <u>eligible</u> , all of the	e responses to items 4-6 above <u>must be 'no'.</u>			
•		f Adult and Pediatric Adverse Events Version 1.0, Decem	ber, 2004 (Clarificat	tion dated	
		able for Use in Microbicide Studies			
-	Grading the Severity o	of Adult and Pediatric Adverse Events Version 1.0, Decen	nber, 2004 (Clarifica	tion dated	
August 2009)					
		of Adult and Pediatric Adverse Events Version 1.0, Dece		ation dated	i
August 2009), Addendum 3 Rect	al Grading Table for U	Use in Microbicide Studies (Clarification dated May 2012)			
At enrollment visit, participant is for	und to meet all eligi	ibility criteria:			
		<u> </u>			
Signature of staff member	Date	Signature of Investigator of Record	Date		
		(or designee)			