# Ethical and Operational Issues in Preventing Co-Enrollment

Baningi Mkhize, MD.

Perinatal HIV Research Unit

University of Witwatersrand

SA



#### Introduction

- Areas of Co-enrollment
- Definition of Co-enrollment
- Motivations for Co-enrollment
- Prevention of Co-enrollment
- Why prevent Co-enrollment?
- Ethical issues
- Operational issues
- Solution
- South African solution?
- Conclusion
- Acknowledgements



#### **Areas of Co-enrollment**

- Schools
- Computer software sales
- Drug and alcohol abuse rehabilitation programs
- Clinical trials



## **Definition of Co-enrollment**

"Concurrent enrollment" "Multiple enrollment"

Co-enrollment is a situation where the participant is enrolled in more than one clinical trial at the same time, or in the same clinical trial at different sites at the same time



## **Motivations for Co-enrollment**

- Financial
- Curiosity
- Ignorance
- Other



#### **Prevention of Co-enrollment**

- Education of community
- Reasons for participation in clinical trial
- Alert Staff
- Shared database
- Cooperation



# Why Prevent Co-enrollment?

- Safety of participants
- Data integrity
- Study outcome



## **Ethical Issues**

- Safety
- Benefit
- Consent
- Confidentiality
- Biometrics



# **Ethical Issues (Cont.)**

- Use of information limited to preventing multiple enrollments
- Disclosure limited to:
  - ID number
  - Clinical trial
  - Study product
  - Clinical trial site

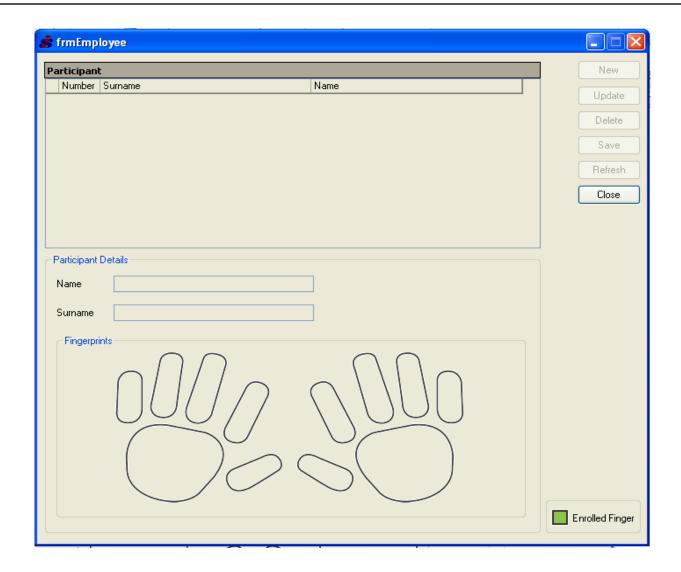


# **Operational Issues**

- What?
- □ How?
- Speed
- Reliability
- Sustainability
- Cost



## **Solution**





# Solution (cont.)

- Fingerprint system
- Web-based
- Secure
- Easy and quick to implement
- Low system requirements
- Bolts onto existing systems and processes
- Cost-effective
  - Once-off setup cost
  - Fixed monthly fee



## Solution (cont.)

- Reliable
- Sustainable
- No hardware lockdown
- Needs minimal training

## Solution (cont.)

- Redundancy & high availability:
  - Power
  - Data backup
  - Network
  - Standby server with replication

## South African Solution?

- Y
- Different numbering systems: ID/passport
- International solution?



## Conclusion

- Co-enrollment is problematic
- Solution needed
- Cooperation among sites
- Excellent, cost-effective System required



Ngiyabonga!
Thank you!
Gracias!
Merci!

## Acknowledgements

- MTN funded by: NIAID (5U01AI068633), NICHD and NIMH, all of the U.S. National Institutes of Health
- MTN Management
- FHI
- PHRU MTN Staff

